

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Warren McKinneyApplication No./Patent No.: 10/037,259 Filed/Issue Date: December 21, 2001Entitled: DATA CARD CARRIER AND METHOD OF MANUFACTUREThe Form House, Inc., a Corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

- [] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/22/02
Date

WARREN MCKINNEY
Typed or printed name
Warren McKinney
Signature
VP SALES & MARKETING
Title

ASSIGNMENT

WHEREAS, Warren McKinney, a resident of Naperville, Illinois, and Steve Pasek, a resident of Tinley Park, Illinois, (hereinafter referred to as "Assignors"), are the inventors of a certain new and useful invention relating to a DATA CARD CARRIER AND METHOD OF MANUFACTURE for which Assignors are about to make application for Letters Patent in the United States; and

WHEREAS, Assignors are the owners and in full possession of the entire right, title and interest in and to said invention and in and to any and all Letters Patent that may be granted therefor; and

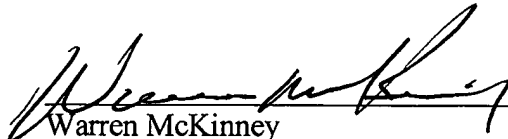
WHEREAS, The Form House, Inc., a corporation duly organized under the laws of the State of Illinois, (hereinafter referred to as "Assignee"), having its principal office and place of business at 7200 S. Leamington Avenue, Bedford Park, Illinois, 60638, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent that may be granted therefor; and

NOW, THEREFORE, for and in consideration of the sum of One Dollar (U.S. \$1.00) and other valuable considerations to Assignors paid by said Assignee, receipt of which is hereby acknowledged, Assignors do hereby sell, assign and transfer unto said Assignee, the entire right, title and interest in and to said invention and in and to any and all Letters Patent, both United States and foreign, that may be granted therefor, together with any and all continuations, divisions, or reissues, and Assignor does hereby authorize and request the United States Commissioner of Patents and Trademarks to issue in accordance with this Assignment any and all Letters Patent that may be granted, either on said application or otherwise for said invention.

IN WITNESS WHEREOF, Assignor has hereunto set his hand and seal.

Dated:

2/22/02


Warren McKinney

STATE OF IL)
) ss.
CITY OF BROOKDALE PARK)

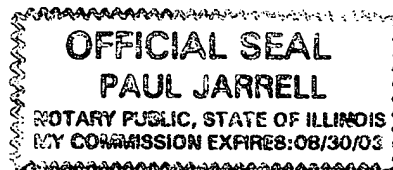
On this 22 day of FEBRUARY, 2002, before me, the undersigned, a Notary Public within and for the City and State aforesaid, personally appeared Warren McKinney to me known to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed.

In Witness Whereof, I have hereunto attached my hand and notarial seal, at the City and State aforesaid on the day and year last above written.


Notary Public

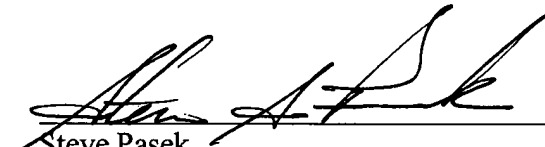
My Commission Expires:

8/30/03



IN WITNESS WHEREOF, Assignor has hereunto set his hand and seal.

Dated: 02-22-02


Steve Pasek

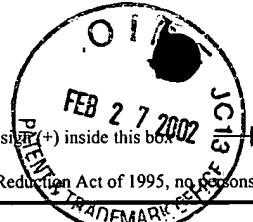
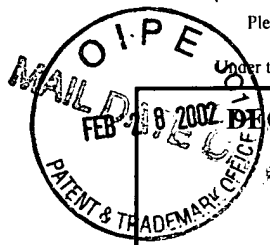
STATE OF ILLINOIS)
CITY OF BEDFORD PARK) ss.

On this 22 day of FEB, 2002, before me, the undersigned, a Notary Public within and for the City and State aforesaid, personally appeared Steve Pasek to me known to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed.

In Witness Whereof, I have hereunto attached my hand and notarial seal, at the City and State aforesaid on the day and year last above written.


Notary Public

My Commission Expires: 6/30/03



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PTO/SB/18 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	
<input type="checkbox"/> Declaration Submitted With Initial Filing	<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) Required)
Attorney Docket Number 717228.7	
First Named Inventor MCKINNEY, Warren	
COMPLETE IF KNOWN	
Application Number	10/037,259
Filing Date	December 21, 2001
Group Art Unit	2182
Examiner Name	Not Yet Known

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DATA CARD CARRIER AND METHOD OF MANUFACTURE

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YY)

12/21/01

as United States Application Number or PCT International

(if applicable).

Application Number

10/037,259

and was amended on (MM/DD/YY)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not claimed	Certified Copy Attached? YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

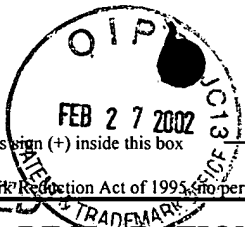
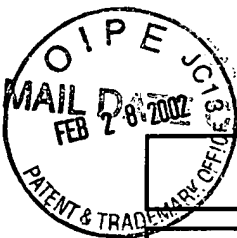
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Application Fee, Washington, DC 20231.



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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number
or Bar Code Label

27128

OR ☒ Correspondence address below

Name **Robert J. Lewis**

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State **Missouri**

ZIP **63101**

Country **US**

Telephone **314-345-6000**

Fax **314-345-6060**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) **Warren**

Family Name
Or Surname **McKinney**

Inventor's
Signature *Warren McKinney*

Date **2/22/02**

Residence: City **Naperville**

State **IL**

Country **US**

Citizenship **USA**

Mailing Address **1195 Amaranth Drive**

Mailing Address

City **Naperville**

State **Illinois**

ZIP **60564**

Country **US**

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) **Steve**

Family Name
Or Surname **Pasek**

Inventor's
Signature *Steve A. Pasek*

Date **02-22-02**

Residence: City **Tinley Park**

State **IL**

Country **US**

Citizenship **USA**

Mailing Address **7939 W. 160th Street**

Mailing Address

City **Tinley Park**

State **Illinois**

ZIP **60477**

Country **US**

☒ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.